

THE FEDERALISM REPORT

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CSF NOTEBOOK

FROM THE EDITOR

The 1992-93 Center for the Study of Federalism Publications Catalog is still available upon request. Please call (215) 787-1480, or write to the Center for your copy.

CSF FELLOWS IN THE NEWS

John Kincaid, Executive Director of the Advisory Commission on Intergovernmental Relations, has been elected President-elect of the Southwestern Political Science Association (SWPSA). John has had a long-standing affiliation with SWPSA as a member of the Department of Political Science at the University of North Texas.



CENTER FOR THE STUDY OF FEDERALISM, TEMPLE UNIVERSITY
1616 WALNUT STREET • SUITE 507 • PHILADELPHIA, PA 19103

INTERNATIONAL VISITORS TO THE CENTER

The Center continues to attract visitors from throughout the world who are interested in learning more about the American political system in general and American federalism in particular.

Recent visitors have included:

Giuseppe Castiglia, the Chief Clerk of the Italian Senate and Institutional Affairs Advisor to Giovanni Spadolini, the President of the Senate.

Carlos T. Clavel, News and Special Events Manager for Argentina's state-owned television station Channel 7. He is also the Special Coordinator of the Sbero-American News Service.

Josiah Khipusizi Jele, **Edwin Funde**, **Buzwe Stanley Stanislaus Mabjzela**, **Sipho Makana**, **Sendiso Mfenyana**, **Anthony Mongalo**, all members of the African National Congress.

UPCOMING CONFERENCES

Federalism and Rights

The centerpiece of the Center's 25th anniversary will be a public conference organized on the theme of "Federalism and Rights." Funding for this conference has been provided by a grant from the National Endowment for the Humanities. The conference will be held in Philadelphia at the Hilton Hotel and Towers from November 14-16, 1992. It will investigate the relationship between federalism and rights in the United States and other federal systems. Underlying all of the conference's deliberations will be the question: How does federalism promote or undermine individual rights? To answer this question, the conference will undertake a systematic investigation of five major considerations: 1) the theoretical relationship between federalism and rights; 2) the tension between group rights and individual rights in federalism; 3) the historic interplay between federalism and rights in the United States; 4) the ways that federal systems understand the sometimes competing claims for diversity and for individual rights; and 5) current issues involving federalism and rights, both within and without the boundaries of the United States.

The co-directors of the conference are Ellis Katz of Temple University and G. Alan Tarr of Rutgers University. The conference is being co-sponsored by the U.S. Advisory Commission on Intergovernmental Relations, the Philadelphia Bar Association, and Rutgers University, Camden Campus.

For more information, please contact the Center at (215) 787-1480.

The Works of Bernard Malamud

The Center will host an upcoming invitational literary colloquium sponsored by the Liberty Fund on "Freedom and Responsibility in the Writings of Bernard Malamud." It is scheduled for September 12-14, 1992 and will be held at the Hotel Sofitel in Minneapolis, MN. The works that will be read include *The Assistant*, *The Fixer*, *The Magic Barrel*, *The Natural*, *A New Life*, and *The People*. The colloquium will explore Malamud's examination of the dilemmas of individual freedom and responsibility which take place in an urban and metropolitan context.

RECENT PUBLICATIONS

Florida, the nation's fourth largest state, with a very diverse racial and ethnic makeup, unusual age profile, and increasingly two-party political configuration, is the focus of a new book. *Reapportionment and Representation in Florida: A Historical Collection*, edited by Susan A. MacManus, is a 750-page collection of 34 chapters written by notable political scientists, historians, attorneys, public administrators, and elected officials. It traces

reapportionment and redistricting in this "leading indicator" state from its admission to the Union to the present. Changes in the congressional and legislative redistricting processes, electoral outcomes (partisan, race, gender, age), and policy impacts are identified. Changes in Florida's county commissions, school boards, and city councils are also highlighted. The appendices provide critical baseline materials for evaluating 1992 redistricting efforts across the state (1990 population data for counties and cities; racial and ethnic composition of Florida's congressional, House, and Senate districts; election timetable for 1992).

The book can be ordered for \$35.00. Send request and check or money order to: USF Research Foundation, Office of Research, FAO 126, 4202 E. Fowler Ave., University of South Florida, Tampa, FL 33620-7900. Limited supply printed.

Praeger Publishers

My Fellow Americans: Presidential Addresses That Shaped History. By James C. Humes. 312 pp. \$49.95.

The presidency, in Theodore Roosevelt's famous words, is a "Bully Pulpit." No one has studied the presidency from this vantage point. This book, in a sense, is a study of American political history seen through the prism of selected presidential addresses. It reveals how presidents used major addresses to create a theme for their administrations, to introduce history-making legislation or programs, or to rally successfully a majority of the nation behind their policies. No other book has examined the major presidential addresses - their construction and their impact - as history. No other book examines, in such detail, the background of the speechwriters who drafted the addresses. James C. Humes, a former White House speechwriter, has a unique understanding of the process of presidential speech-drafting.

A single speech can be a defining point in American history, such as the Kennedy inaugural ("Ask not what your country can do for you, ask what you can do for your country"), or a rallying cry, such as Franklin Roosevelt's inaugural ("The only thing we have to fear is fear itself"). It can become an American creed as did the Gettysburg Address or a prophecy like the Reagan address to the Houses of Parliament in 1982. Washington's Farewell Address would prescribe our conduct in foreign policy for a century, as did the Monroe Doctrine in 1823. Sometimes the message is a declaration for war, such as Wilson's speech in 1917, or a "war" against an economic elite like Jackson's Bank veto in 1832 or Cleveland's Tariff message in 1887. This book is of great interest not only to historians and political scientists, but also to students of the presidency and government.

Japan Thrice-Opened: An Analysis of Relations Between Japan and the United States. By Hideo Ibe. 296 pp. \$49.95.

A history of Japan-United States relations from the point of view of a pro-American Japanese scholar, this work is designed to serve as an analysis of the current situation - the third opening of Japan to the West in the last century. The two earlier openings failed when Japan adopted the policy of "sonno-joi" (which means literally "revere the emperor and expel the foreigners"). This book is written to try to forestall the very real possibility of a recurrence of "sonno-jot" as a reaction to the current opening by appealing to both the Japanese and the Americans to understand Japanese history and Japanese sensibilities.

Japan and the United States share many interests and goals, but they suffer from a tremendous gap in mutual perceptions. Ibe makes an attempt here to explain the Japanese and their view of the world to a non-Japanese audience. He believes Japan is not an inexplicable riddle nor a monolithic Japan, Inc. He argues that since time immemorial, forging unity among the many warring groups in Japan has been difficult, whether united the warlord clans of the ancient period, the feudalistic domains of premodern times, the political factions of the modernizing period, or the private corporations or government ministries of today. Ibe examines the difficulties the Japanese have encountered in their efforts to unify and to articulate their desires - which is not well understood by non-Japanese. This book is ideal for students, scholars, and the informed citizen interested in Japan and Japanese-American relations.

BOOK REVIEWS

NOTE: The following books have been received by the Center and will be reviewed in upcoming editions of *The Federalism Report: The Constitution and American Political Development: An Institutional Perspective*, Peter F. Nardulli, ed.; *Teaching Public Policy: Theory, Research, and Practice*, Peter J. Bergerson, ed.; and *Political Economy, Ideology and the Impact of Economics on the Third World*, by Derrick K. Gondwe.

The Heated Debate: Greenhouse Predictions Versus Climate Reality. By Robert C. Balling, Jr. San Francisco: Pacific Research Institute, 1992. 210 pp. \$21.91

The greenhouse effect on the world's environment and climate change are issues that have taken on lives of their own in national debate. Much of the response to the subject revolves around predictions that have come from the scientific community suggesting catastrophic events in the immediate or near future. In *The Heated Debate*, Robert C. Balling, Jr. argues that this "apocalyptic vision is in error and that the highly touted greenhouse disaster is most improbable."

Balling's view is what separates him and his work from much of what has already been done by others. *The Heated Debate* is not just a work with the purpose of tearing down scientific positions that the author doesn't agree with. In fact, it is surprisingly balanced and politically uncharged for what one is conditioned to expect from a work subtitled "Greenhouse Predictions Versus Climate Reality."

Balling is a scientist and a recognized expert on global warming. This book, therefore, should appeal to others in the field. His presentation is systematic and thorough. Some of his discussions on observed climate change and models may well be more than the non-scientist requires to understand the subject. However, for one who wants or needs all of the data, it is presented clearly and provides a helpful resource for scientist and non-scientist alike.

Do not be misled, however; Balling is not saying there is no greenhouse effect. Virtually all greenhouse scientists agree that a rise in global temperatures is taking place. Further, this rise is tied to an increase in CO₂ levels. According to Balling, "we are already watching the greenhouse effect unfold before our eyes, and the observational evidence is not pointing to disaster." It seems apparent that a good number of conclusions drawn by scientists are ideologically rather than scientifically based. Balling does not indulge in this luxury. While he does have remarks on the data throughout, his call is a call for reason and scientific investigation.

Obviously, there can be damaging consequences to the rise in global temperatures. But, according to Balling, there are also benefits, such as crop yield increases of up to 33% with the doubling of CO₂ in the atmosphere. Some scientists dwell on the negative while others, according to the author, "may not recognize potential environmental costs." Policymakers, on the other hand have the responsibility of maximizing any benefits while minimizing the cost. The author says that scientists have the privilege of contributing to the collective knowledge in a piece by piece fashion. Balling has more than done his share.

PAUL T. NEAL
Temple University

The Sword and the Cross: Reflections on Command and Conscience. By James H. Toner. New York: Praeger Publishers, 1992. 200 pp. \$45.00.

Just how does one deal with the ethical decision-making that is involved in taking up arms? To know this is to know how to answer many more questions than just this one. James H. Toner, in *The Sword and the Cross*, attempts to deal with the issues of command and conscience (action and ethics), and in doing so, gives the reader a set of guidelines for balance.

Balance is a commodity that is lacking. "Command" and "conscience" are terms that can be applied as politics and ethics. Power and purpose can be complimentary. Interestingly, the author argues that the good should be worked towards even if we conclude that it can never be achieved. For it is in this work that we better both ourselves and our community.

Toner demonstrates how virtue enables one to make difficult leadership decisions. Without this virtue there is little hope of achieving success. If command and conscience are to be integrated into political leadership,

personal integrity, according to the author, is the need and education is the means. Toner argues that the same balance needed in politics is needed in education - an education that balances "science and humanity."

Two themes run through *The Sword and the Cross*, namely military affairs and religion. It seems likely that the two issues are important to the author and to the course of the book. The first because of its usefulness as an example and the second because of its answers to problems presented. *The Sword and the Cross* is a text on human nature and political behavior. It is well worth the investment in time to read. Toner leaves the reader with sound analysis and insight that we would do well to heed in the future. Although his conclusions challenge accepted views, they also offer answers that others can not.

PAUL T. NEAL
Temple University

Toward a Usable Past: Liberty Under State Constitutions. Edited by Paul Finkelman and Stephen E. Gottlieb. Athens, GA: University of Georgia Press. 260 pp. \$45.00.

According to the editors of *Toward a Usable Past*, their book looks at the history of liberty in states as seen in or manifested through individual state constitutions. This is achieved through a series of essays by various well-qualified scholars and very interesting topics. States have had their share of successes and failures in the area of protecting liberty. This was duly noted by some of the contributors to this text.

When we think of state constitutions, we often think of a dry text with less ideas than specific regulations. Unless one happens to be personally interested in the subject, people also tend to be under the impression that the rights that really affect us are found in the United States Constitution and those of the individual states have little impact upon our actions or beliefs.

Actually, this book demonstrates that just the opposite is true. Constitutions at the state level spark debate (as some of the contributors' varying opinions demonstrate) and also have a long history of impacting the lives of citizens. This is most evident in the past, as Morton Horowitz points out in his essay when he states that "American constitutional law in any real functional sense before the Civil War is American state constitutional law."

As is made clear throughout the work, state constitutions can go beyond the guarantees granted in the United States Constitution. This is a worthwhile thought to bear in mind. Traditionally, we have been led to believe that the only people who use or to whom state constitutional law appeal are those who we could term a "negative states-rightist." These would be those who opposed federal desegregation and other federal civil rights reform. While this view is criticized by some contributors in this work, it is advanced by others. This debate itself is a reason to read.

It is no accident that state constitutions are more specific and the federal constitution is general in scope. Kermit Hall's chapter entitled, "Mostly Anchor and Little Sail," demonstrates his perception of the use of state constitutions. Hall's ideas are consistent. I recall his phrase from *The Magic Mirror*: "[the constitution is more] like a river than a rock." Perhaps this relationship between federal and state has allowed each to fill a particular field of law and serve a purpose of its own. *Toward a Usable Past* gives the reader a wide array of ideas and issues while at the same time addressing the central theme of liberty in state constitutions.

PAUL T. NEAL
Temple University

The Federalism Report invites the submission of reviews of books that would be of interest to our readers. Send reviews and information of book (publisher, ISBN, date of publication and price) to: Paul T. Neal, Center for the Study of Federalism, 1616 Walnut Street, Suite 507, Philadelphia, PA 19103.

PUBLIUS:

The Journal of Federalism
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Articles

Constitutional Rights in the Federal System
by *Daniel J. Elazar*

Changing Conceptions of Rights in the United States and the West
by *Daniel J. Elazar*

The State Constitutional Pedigree of the U.S. Bill of Rights
by *Donald S. Lutz*

Property as a Constitutional Right in the Nineteenth Century:
Or What Have We Learned Since Corwin?
by *Samuel Krislov*

Completing the Constitution: The Fourteenth Amendment and Constitutional Rights
by *Michael P. Zuckert*

Constitutional Theories and Constitutional Rights: Federalist Considerations
by *G. Alan Tarr*

Other Features

Madison on the Meaning of the "General Welfare," the "Purpose" of Enumerated Powers, and the "Definition" of
Constitutional Government
by *Leonard R. Sorenson*

Liberalism and Political Allegiance in Anti-Federalist Political Thought
by *Richard C. Sinopoli*

CALL FOR PAPERS

Toward Federalism in Spain?

Publius: The Journal of Federalism invites original articles of high quality for a special issue on "Toward Federalism in Spain? Fifteen Years of Democratic Constitutionalism." The end of 1993 will mark a decade and a half since the nation's transition from dictatorship to constitutional democracy. In 1978, three years after the death of Franco, the Spanish nation established a constitutional system that contains both federal and unitary features. The Spanish state is establishing a multi-organizational governance system that focuses on the interaction between national government and its 17 autonomous territories or regional governments.

Although perhaps less visible than many of the recent decentralist changes in other Western European countries, Spain's transformation represents the development of constitutional democracy through the revamping of institutions in its intergovernmental system: new regional governments; diminished provincial roles; expanding municipalities; and, in some territories, new units interposed between municipalities and territories. Unlike other nations, intergovernmental relationships are unfolding in substantially different ways in different territories, even in the same policy areas, as the new constitutional bargain is tested. The viability of Spanish governmental institutions and political processes, particularly that of competence in developing and executing shared programs, will contribute to the long-run stability of this nation. Moreover, these internal capabilities must be built while Spain plays out its role as a member of the European Community, introducing yet another challenge.

Understanding this transformation in institutionalizing constitutionalism requires a focus that captures both the unique history and traditions of the Spanish state and the broader intergovernmental developments in multi-unit nation-states. These include the development of regionalism and federalist ideas; linkages between regional questions and Spain's stability as a democratic state; ethnonationalism, federal ideas, and their influence on the development of Spanish federalism; legislative and judicial developments in constitutionalism since 1978; national executive governance and program management; managing the territories and local governments; financing the public sector, governance from territorial government perspectives; public policy and the territorial system; territorial connections in political parties and elections; and Spain's lessons for multi-ethnic, multi-lingual societies emerging from authoritarian rule.

Carefully prepared empirical articles, illuminating case studies, and pertinent historical articles will be considered for publication. Papers will be published in English. Proposals or papers in progress should be sent to one of the guest editors: Robert Agranoff, School of Public and Environmental Affairs/West European Studies, SPEA Building, Indiana University, Bloomington, IN 47405, USA, or Rafael Banon Martinez, Fundacion Ortega y Gasset, Fortuny 53, Madrid 28006. The deadline for submitting proposals is June 1, 1992. Complete manuscripts for review will be due April 1993.

SUBSCRIPTIONS

Subscriptions should be sent to *Publius*, Department of Political Science, University of North Texas, Denton, Texas, 76203-5338. Regular \$25; Sustaining \$30; Library and Institutional \$35; Student and Retired \$20. Foreign postage \$5 additional. Single issues \$10. Reprints of articles \$2 each. Please make checks payable to CSF: *Publius*.

ANNUAL INVENTORY OF RESEARCH AND PUBLICATIONS RESULTS

As a service to its members, the Center for the Study of Federalism takes an annual inventory of members' current research activities and publications. The following are the results of this inventory.

Assistant Professor Neil Berch
Department of Political Science
Woodburn Hall
West Virginia University
Morgantown, WV 26506

Current Research Activities

1. "Changes in State Tax Progressivity: The Political Roles of Business, Labor and Political Parties"

A comparative study of the effects of parties and business and labor interest groups on tax progressivity in the states, 1985-91. Research should be completed in December 1992, and a final report should be available from West Virginia University. For more information, please contact the author at the above address.

2. "Who Benefits From 'Choice' in Education? The Role of Race, Class, and Information Costs"

This is a detailed study of the distribution of costs resulting from school choice programs in the states. Research should be completed in June 1993, and a final report should be available from West Virginia University. For more information, please contact the author at the above address.

Recent Publications

1. "Why Do Some States Play the Federal Aid Game Better Than Others?" *American Politics Quarterly*, July 1992.

This is an analysis of the determinants of changes in federal aid. State-based factors were shown to be more important than Congress-based factors.

2. "The Item Vetos in the States: An Analysis of the Effects Over Time," *Social Science Journal*, July 1992.

This study shows that the item veto has a limited role in inhibiting the growth of some types of state spending, and only when the item veto power is strongest.

Assistant Professor Scott A. Bollens
University of California
School of Social Ecology
Irvine, CA 92717-5150

Current Research Activities

1. "State Growth Management: Intergovernmental Frameworks and Policy Objectives"

This study examines two trends in the evolution of state growth policy: 1) shift from state preemptive regulatory interventions to conjoint planning frameworks, and 2) incorporation of growth-accommodating economic policies into heretofore environmentally-focused programs. Research began in February 1990 and was completed in September 1991.

2. "A Question of Balance: Growth Imperatives and Intergovernmental Reform"

Study will examine each of numerous California growth policy proposals aimed at restructuring state, regional and local growth governance. For more information, please contact the author at the above address.

Recent Publications

1. "Reforming Land Use Governance," *Journal of Planning Literature*.

This article surveys four major aspects of the literature on land use governance reform -- the rationales and motivations underlying reform, citizens support and constituencies, the institutional forms and techniques, and the outputs and outcomes of multi-tier growth management. Please contact the author on the availability and cost of reprints.

2. "State Growth Management: Intergovernmental Frameworks and Policy Objectives," Journal of the American Planning Association.

This article contrasts the intergovernmental structures and development goals of state growth programs initiated since 1970 in thirteen states. Please contact the author on the availability and cost of reprints.

Professor Herman L. Boschken
Department of Organization
and Management
San Jose State University
San Jose, CA 95192

Current Research Activities

1. "Multiple-Constituency Performance in Urban Mass Transit"

Using a sample of 42 agencies, the research is seeking to explain why agencies skew performance toward different constituency groups. Such bias is hypothesized to be a function of land use, demographics, political structure, and agency management variables. Research began in 1990 and should be completed in 1995. Publication will start this year from the author. For more information, please contact the author at (408) 924-3563.

A. Lee Brown, Jr., Ph.D.
Department of Political Economy
Grossmont College
8800 Grossmont College Drive
El Cajon, CA 92020

Current Research Activities

1. "Special District Governance and Irrigation Organizations in the American West"

This is a project funded by the Ford Foundation as part of a large (7 state) study designed to obtain a field level view of what is happening in western irrigation communities. A taxonomy of six major issues are facing a variety of governmental institutions in agrarian communities and this study focusses upon how communities are coping with these problems, and seeks to identify which institutional organizations appear to meet the challenges most effectively. This project will conduct field studies in California, Nevada, and Utah while a team from the University of Colorado will do the same in four other western states.

An additional facet of this study is to examine the ability of special district models of governance to mitigate the effect of water transfers (from rural to urban uses) upon rural poverty. The project will begin in June 1992 and should be completed in June 1993; a preliminary report and manuscript will follow thereafter. For more information, please contact the author at the above address.

**Professor and Acting Associate
Dean George H. Cox, Jr.**
Georgia Southern University
Landrum Center
Box 8101
Statesboro, GA 30460

Current Research Activities

1. "Rural Public Administration Network Newsletter"

A national newsletter for administrators who work in small cities and non-urban counties. There are currently 200+ network members. The newsletter has been managed at different universities for approximately ten years. For information, please contact RPAN Newsletter, LB8101, GSU, Statesboro, GA 30460.

2. "Multi-County Development Planning"

This will begin the fourth year of research about and service to small communities in July, 1992. These communities are required to develop comprehensive community development plans under the Georgia Planning Act of 1989. In 1992-93 our

focus will be on multi-county economic development plans. For information and/or final report, please contact Bureau of Public Affairs, LB8101, GSU, Statesboro, GA 30460.

Recent Publications

1. "Local Government Support for Economic Development," *Public Administration Quarterly*, Volume 15, Number 1: 304-327, Fall 1991.

This article reports the results of a survey of 70 small local governments. The survey asks local public officials about economic development activities, participants, and work products. The article documents a lack of formal planning documents in smaller locales. Jointly authored by John Daily and Roger Pajari.

2. "Managing Overcrowding: Corrections Administrators and the Prison Crisis," *Criminal Justice Policy Review*, Volume 4, Number 2: 115-143, 1990.

This article reports the results of a survey of the 50 state commissioners of corrections concerning their perceptions of the causes and probable cures for the nation's prison overcrowding crisis. Jointly authored by Susan Rhodes.

Professor Daniel J. Elazar
 Center for the Study of Federalism
 1616 Walnut Street, Suite 507
 Philadelphia, PA 19103

Current Research Activities

1. "The Locational Basis of American Political Culture"

An examination of spacial, temporal and cultural foundations of the American polity emphasizing the progression of generations, summarizing the author's earlier work on sectional, ethnic and religious elements shaping American political culture and its sub-cultures and examining some of their manifestations. Research should be

completed in 1993, and a final report will be published as THE AMERICAN MOSAIC by Westview Press.

2. "Confederal Solutions for Ethnic Conflicts"

Examination of a revival of confederal solutions as possibly realistic governance options and the possibility of applying them to current ethnic conflicts in the world.

Recent Publications

1. THE COVENANT TRADITION IN POLITICS

A multi-volume study of the foundations of federal ideas, culture and behavior and how that concept manifested itself in political life from Biblical times to the present. Forthcoming.

Professor Arthur B. Gunlicks
 Department of Political Science
 University of Richmond
 Richmond, VA 23173

Current Research Activities

1. "The Future of Federalism in Germany"

Chapter for the book A UNIFIED GERMANY IN A UNIFIED EUROPE edited by Christopher Anderson, Karl Kultenthaler and Wolfgang Luthardt, all of Washington University, St. Louis, Missouri. Research should be completed in the Spring of 1992.

2. "State Constitutions in Germany"

Chapter for a book STATE CONSTITUTIONS AROUND THE WORLD: A COMPARATIVE STUDY, edited by James A. Thomson, Greenwood Press. Research should be completed in the Summer of 1992. For more information, please contact Mr. Thomson, City Beach, Australia.

Recent Publications

1. "Federalism and German Unification," **POLITICS AND SOCIETY IN GERMANY, AUSTRIA AND SWITZERLAND**, Spring 1992. *A review of the foundation of the five new Lander in East Germany after unification on October 3, 1990 and the current controversy over the question of whether there are now too many Lander in Germany.*

Assistant Professor Dennis Patrick Leyden
Department of Economics
University of North Carolina at Greensboro
Greensboro, NC 27412

Current Research Activities

1. "Linear-Demand Models of Intergovernmental Grants Versus a More Structured Approach: An Empirical Evaluation"

This work investigates the empirical value of using more sophisticated models for explaining and predicting the effects of intergovernmental grants. Research should be completed in August 1992. For more information, please contact the author at (919) 334-5463.

2. "Constitutionally Mandated Intergovernmental Grants Structure"

This work is a theoretical investigation of the effects of court-ordered changes in intergovernmental grants structures on the willingness of a legislature to fund intergovernmental grants and the willingness of recipient governments to fund the associated governmental service. Research should be completed in June 1992. For information, please contact author at (919) 334-5463.

Recent Publications

1. "Donor Determined Intergovernmental Grants Structure," **Public Finance Quarterly** (forthcoming 1992)

Individual intergovernmental grant programs are components of a comprehensive intergovernmental grants structure chosen by a legislature. Administrative costs, spillovers, fiscal illusion, and political asymmetry play an important role. Contact author for availability of reprints.

2. "Intergovernmental Grants and Successful Tax Limitation Referenda," **Public Choice** 57(2): 141-154, May 1988.

Tax limitation referenda provide voters with a mechanism for restricting the effect of redistributive intergovernmental grants. Contact author for availability of reprints.

Barbara P. McCrea
Department of Government
University of Notre Dame
Notre Dame, IN 46556

Current Research Activities

1. "The Political Dynamics of Federalism in a Marxist Socialist Setting: The League of Communists of Yugoslavia"

Ph.D. dissertation to be completed in April 1992. For a final report, please contact the author at the above address.

Recent Publications

1. "The Nationality Question from Marx to Lenin," **Michigan Academician**, University of Michigan, Winter 1991.

Deals with Lenin's reworking of Marx's positions on nationalism and federalism and with the Leninist version of federalism. For reprints, please contact the journal.

Professor Donald C. Menzel
Public Administration Program
University of South Florida
Tampa, FL 33620

Current Research Activities

1. "The Ethical Environment of the City-County Manager: A Study of Florida Managers"

This study elicited city and county managers' views of the ethical environments of their organizations and the communities within which they work. Research began in December 1991 and should be completed in September 1992. A final report will be made available by Public Administration, University of South Florida, Tampa, FL 33620-8100. For more information, please contact the author at the above address.

2. "Ethics Complaint Making in Florida"

An analysis of who files complaints, why, and how complaint-making influences the views of those who are the target of ethics complaints. Research began in January 1992 and should be completed in December 1992. A final report will be made available by the Public Administration at the above address. For more information, please contact the author.

Recent Publications

1. "Ethics Attitudes and Behavior in Local Government: An Empirical Analysis," *State and Local Government Review*, forthcoming 1992.

A survey of the ethical beliefs, attitudes, and behaviors of more than 3,000 employees in two local governments — a medium size city and a large county.

2. "Setting a Research Agenda for the Study of the American County," *Public Administration Review*, March/April, 1992.

An assessment of research in the American county and suggestions for future research topics.

Assistant Professor Rowan Miranda
University of Illinois at Chicago
P. O. Box 4348
Chicago, IL 60680

Current Research Activities

1. "Fiscal Information Illusion: Concepts, Techniques, Practices"

Examines illusory fiscal and financial reporting practices among Illinois local government units. Should be completed in June 1992. For more information, please contact the author.

Recent Publications

1. "Post-Machine Regimes and the Growth of Government," *Urban Affairs Quarterly*.

2. "Privatization in Chicago's City Government," *Research in Urban Policy*, Volume 2.

Professor David B. Walker
Department of Political Science
MPA Program
University of Connecticut
Storrs, CT 06269

Current Research Activities

1. "Federalism in the 90s"

A revision of the author's 1981 "Toward a Functioning Federalism." Research should be completed in the Fall of 1992.

2. "German Unity and German Federalism"

A 41-page, single-spaced account of the first 18 months of German unity. Just completed, a final report was given at the New England Political Science Association's meeting in Providence on April 3, 1992.

Recent Publications

1. "Germany Searches for a New Role in World Affairs," *Current History*, November 1992. *Covers the massive shift in German opposition to and then support of the Gulf War.*
2. "American Federalism from Johnson to Bush," *Publius*, Volume 21, Number 1 (Winter 1991).
3. "Decentralization: Recent Trends and Prospects from a Comparative Governmental Perspective," *International Review of Administrative Science*, Volume 57: 113-129 (1991).

Professor Charles Wise
School of Public and Environmental Affairs
Indiana University
Bloomington, IN 47405

Current Research Activities

1. "Decentralization in Sweden: Impact on Public Agency Management"
Analysis of effects of recent change in Swedish national policy and laws on decentralization and their effects on public agencies. Research began in September 1991 and should be completed in September 1992. For a final report and/or information, please contact the author at the above address.

Recent Publications

1. "Public Managers, Judges and Legislators: Redefining the New Partnership," *Public Administration Review*, Volume 51, Number 4, July/August 1991. Co-authored by Rosemary O'Leary.
Analysis of implications of Supreme Court decision in Missouri v. Jenkins in which the court approved a federal district court judge's order to a school district to raise local taxes and suspended the state's constitutional requirement. This article

received the William Mosher Award for best academic article to appear in PAR in 1991.

2. "Is Federalism Dead or Alive in the Supreme Court?" *Public Administrative Review*, forthcoming.

This is an analysis of recent Supreme Court decisions in terms of their implications for federalism doctrine cases in the areas of: 1) state regulation and preemption; 2) liability for damages; 3) institutional and program management; 4) financial administration; and 5) public personnel management.

Professor Joseph F. Zimmerman
Graduate School of Public Affairs
SUNYA
135 Western Avenue
Albany, NY 12222

Current Research Activities

1. "Federally Induced State and Local Government Costs"

A study of costs that must be financed by subnational governments because of federal government actions or inactions, including mandates and restraints. Research began in 1989 and should be completed by 1993-4. A final report will be made available by the ACIR. For more information, please contact the author.

2. "Developing State-Local Governmental Relations"

A continuous study of changes in state-local governmental relations. Final reports can be found in each edition of The Book of the States. For more information, please contact the author.



NEWLY ESTABLISHED INSTITUTIONS

Within the past year, new centers for federal studies have been established in two federal nations that have been sorely lacking such institutions. They are:

The Indian Institute of Federal Studies, 6-10 Green Park, New Delhi - 10016, INDIA. Its director is Professor Rasheeduddin Khan.

The Deutsches Institut für Federalismusforschung, Bodekerstr. 69 IV, D-3000 Hannover 1, GERMANY. Phone - 0511/762-3943. Fax - 0511/394-0465. Its director is Professor Dr. Hans-Peter Schneider.

While neither institution has yet applied for membership in the IACFS, both have expressed interest in the organization and will be contacted in the near future.

Siberian International Centre for Regional Studies, prospekt Lavrentyeva 17, Novosibirsk, 630090, RUSSIA. Executive Director Dr. Vyacheslav Seliverstov (7) (3832) 35-39-54.

In order to intensify regional research and provide scientific support of the process of Siberian integration into the world economy, the Siberian International Centre for Regional Studies is being established on the basis of the Institute of Economics and Industrial Engineering. The founders of the Center are the Siberian Branch of the Academy of Sciences of Russia and the Ministry of Science, High School and Technical Policy of Russia. The Centre is a voluntary international non-government, non-profit and self-administered organization.

The Centre's activities will concentrate on five main directions: 1) international studies within projects that include regional policy of the state, economic fundamentals and problems of federalism, formation of market relations in the regions, regional programs and territorial production complexes in Siberia and the Arctic Zone, exports potential, computer systems for regional diagnostics and territorial management, geological and economic analysis, and economic development of urban agglomerations and settlements; 2) training and retraining of top and medium level personnel for regional authorities of Siberia and the Far East; 3) organization of business contacts between foreign companies and Siberian enterprises; 4) publication of an international magazine on theoretical and applied problems of regional policies, territorial development and management; 5) organization of international expeditions, symposia, seminars; and 6) participation in training of students and postgraduate students.

ANNUAL MEETING

Economic Integration in Federal Type Systems

A prospectus for an international conference to be held in Melbourne, Australia on 13, 14 August 1992

The advantages of a degree of economic integration is a principal motivating force for most federations. Typically, advantage lies at least in the creation of a larger internal market, diminution of unproductive competition between jurisdictions and co-ordinated economic action in the interests of the whole. Achieving these goals often raises other questions of an economic character about the fiscal powers and policies of the respective levels of government and the obligations of central authorities towards the constituent part of the federation. At the margin, economic issues shade into questions of broader federal principles. One is the extent to which citizens in federal-type systems are entitled to expect equality of treatment from both the central and other regional governments.

Familiar features of the economic framework of most federations include guarantees of internal free trade, a common tariff policy and uniform regulations of commercial activity which cross internal jurisdictional boundaries. Federal models presently in use are diverse, ranging from the relatively decentralized United States, Canada and Switzerland on the one hand to the German constitutional system on the other, with a greater concentration of economic policy-making balanced by constitutional recognition of revenue sharing and a functioning regional second chamber in the federal legislature. Whatever their differences, however, each system aims to strike and maintain a balance between economic integration and regional diversity and discretion. Similar issues may arise in decentralized unitary states as well.

The concept and mechanisms of federal economic union has been the subject of more intense and critical examination in recent times throughout the world. While part of the explanation inevitably lies in the local circumstances of individual countries: for example, the broader constitutional debate in Canada or the integration of East and West in Germany. An underlying cause of general application in a range of different systems, however, is the pressure for greater international competitiveness in an increasingly integrated and competitive world.

In response to this same pressure, federal and unitary states alike are entering into forms of economic co-operation, at supra-national level, which in turn develop federal characteristics and problems of their own. Supra-national arrangements of this kind formed in response to modern economic needs, provide new models for federal union on which other systems have begun to draw. At the same time, they present additional challenges to the federal countries which participate in them, by increasing the importance of more localized government in appropriate circumstances, while making it more difficult to sustain.

The concepts, principles and techniques of economic union in modern federal-type systems will be the subject of an international conference to be held in Melbourne, Australia on 13, 14 August 1992. The conference will be held in conjunction with a meeting of the International Association of Centres for the Study of Federalism. Participants from federal systems around the world will examine the range of existing models at both national and supra-national levels, the challenges they face and the options for change. Questions raised include the following:

- * What degree of economic integration is required in national federal systems on the threshold of the 21st century?
- * To what extent does this depend on the geographic, economic, social and political conditions of individual countries?
- * What are the options for progression towards economic integration between nation-states? At what point do such arrangements become quasi-constitutional in character?
- * What challenges do advanced supra-national arrangements pose for the economic and constitutional systems of participants?

- * What degree of equality between federal units and residents of federal systems is required or suggested by federal principle?
- * What are the implications of economic union for the powers of federal units to tax, spend and borrow?
- * To what extent should economic activity in the interests of the federation as a whole depend on central rather than intergovernmental action?
- * How much of the framework of economic union should be laid down in the federal constitution?
- * What are the techniques and institutions through which individual federal systems achieve economic union? To what extent do they provide models for others?

Preliminary Conference Program

Wednesday 12 August

Registration
Meeting, IACFS

Thursday 13 August

Theme 1 Mobility

Freedom of movement of goods, services, capital and people in federal-type systems; techniques for securing it; benefits and costs; restrictions which may properly be allowed.

9:00 a.m.	Presentation on United States, Australia, and the European Community (30 minutes each)
10:30 - 11:00 a.m.	Break
11:00 a.m.	Discussion in plenary session, led by Canada
12:30 - 2:00 p.m.	Lunch

Theme 2 Equality

The balance between uniformity and diversity in federal systems; techniques for achieving uniformity; formal and substantive equality of sub-units in a federal system; the rights of citizens in federal systems.

2:00 p.m.	Presentation on Canada, South Africa, and Spain (30 minutes each)
3:30 - 4:00 p.m.	Break
4:00 p.m.	Workshops on uniformity, regional equality, and citizens rights
5:00 p.m.	Conclusions in plenary session, led by Australia
7:30 p.m.	Conference dinner; speaker - Professor Daniel J. Elazar

Friday 14 August**Theme 3 Macro-economic Management**

The types and extent of central power necessary or desirable for economic management in federal systems; the role of federal units; their involvement in economic management; mechanisms for economic co-operation in federal systems; borrowing and spending by the different levels of government.

9:00 a.m.	Presentations on Australia, Belgium United States, and Germany (20-30 minutes each)
10:40 - 11:00 a.m.	Break
11:00 a.m.	General discussion in plenary session
12:30 p.m.	Lunch

Theme 4 The International Challenge

The pressure of international developments on the practices and structures of federal-type systems; the particular case of supra-national arrangements; phases of economic integration at the supra-national level; their implications for the economic and constitutional systems of the participants; monetary policy and the role of the central bank.

2:00 p.m.	Presentations on CER (New Zealand) and The European Community - Maastricht, centralized banking, Switzerland, Asia-Pacific cooperation and Eastern Europe
4:15 - 4:45 p.m.	Break
4:45 - 5:30 p.m.	Summary of conference proceedings, led by Australia
5:30 p.m.	Close

PROPOSED PROJECTS

The Institute of Intergovernmental Relations at Queen's University, Kingston, has carried out bibliographic work on federalism since its inception in 1965. Its bibliographic interests have been in the areas of federalism and intergovernmental relations in Canada and selected federal countries. In 1990 the International Association of Centres for Federal Studies decided to explore the notion of doing a collaborative international bibliography of comparative federalism. Research would be carried out by each centre for the geographic area it represents or in which it has some expertise or interest.

The proposed bibliography would be on federalism and federations, the latter primarily in those federal states covered by the constituent member of the IACFS. To some extent, coverage of some federations which are only now, or which are not represented by IACFS members (for example the former Soviet Union, South Africa and Germany) will also be carried out by those in the various centres with a research interest in these areas. At present there are members in Australia, Belgium, Brazil, Canada, England, France, Israel, Spain, Switzerland and the United States -- and new members in Russia, Germany and South Africa.

Because of the potential size of such a project, it would be necessary to limit entries in this bibliography to items that relate to the interaction of the various levels of government within federations, and to federal-provincial or interprovincial matters (or their equivalents). There would be, as well, an emphasis upon federalism within a comparative perspective. The primary languages for this project will be English and French. Final collation and publication will be undertaken by the Institute of Intergovernmental Relations at Queen's University.

FEDERALISM AND INTERGOVERNMENTAL RELATIONS UPDATE

NOMINATIONS FOR SECTION OFFICES

Due to an underwhelming response, Section Chair Donald Lutz has extended the deadline indefinitely for submitting nominees for the Section offices which will be chosen at the 1992 APSA meeting.

The offices that will be vacated are:

Section Chair (1992-94)
Executive Council (1992-94), three positions
Executive Council (1992-95), three positions

Please send a list of the individuals that you would like to nominate for any or all of these positions to:

Professor Donald S. Lutz
Department of Political Science
University of Houston
Houston, TX 77204-3474

SECTION AWARD

The Section will present its annual award to an individual for his or her "significant contribution to the field of federalism and intergovernmental relations" at the 1992 APSA meeting in Chicago, IL. Nominations should be sent by August 1, 1992 to:

Professor Stephen L. Schechter
Political Science Department
Russell Sage College
Troy, NY 12180

Your letter of nomination should include a brief statement explaining the candidates qualifications and contributions. Previous award recipients have been Daniel J. Elazar (1990) and Vincent Ostrom (1991).

EVENTS AT APSA ANNUAL MEETING

The APSA Executive Offices have scheduled the following events for the Section on Federalism and Intergovernmental Relations:

Business meeting - Thursday, September 3, 1992
5:30 - 6:30 p.m.

Wine and cheese reception* - Thursday, September 3, 1992
6:30 - 7:30 p.m.

*co-sponsored by the Center for the Study of Federalism in honor of its 25th anniversary.

The rooms will be announced in the final program, available at the convention. *The Federalism Report* will also publish the rooms when they are released.

APSA PANELS OF RELATED INTEREST

Title: Managing Intergovernmental Relations

Chair: Robert J. Agranoff, Indiana University - Bloomington

Papers: "The Logic of Substate Regionalism Revisited: The Case for Regional Councils"
Robert W. Gage, University of Colorado

"The Rise and Decline of the Federal Government's Analytical Capacity in Intergovernmental Relations"

John Kincaid, U.S. Advisory Commission on Intergovernmental Relations

James A. Stever, University of Cincinnati

"Intergovernmental Perspectives from the State Level: The Views of State Agency Heads"

Deil S. Wright, University of North Carolina

Disc: David R. Beam, Illinois Institute of Technology
Myrna P. Mandell, Cal State - Northridge

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