

CFS NOTEBOOK

Conference for
Federal Studies

SPRING 1975, VOL. V, NO. 2

EXPENSE GRANT PROGRAM FOR
MEMBERS OF THE CONFERENCE FOR FEDERAL STUDIES

FOR

PARTNERSHIP WITHIN THE STATES; LOCAL
SELF-GOVERNMENT IN THE FEDERAL SYSTEM

THE FOURTH "TOWARD '76" CONFERENCE

OF THE

CENTER FOR THE STUDY OF FEDERALISM

in conjunction with the

ADVISORY COMMISSION ON INTERGOVERNMENTAL RELATIONS;

INSTITUTE OF GOVERNMENT AND PUBLIC AFFAIRS,
UNIVERSITY OF ILLINOIS;

AND THE

NATIONAL MUNICIPAL LEAGUE

November 19-21, 1975

Palmer House Hotel
Chicago, Illinois

A limited number of GRANTS FOR EXPENSES are available from the Conference for Federal Studies for its members to attend and participate in the conference on "Partnership Within the States: Local Self-Government in the Federal System" in November. A full description of the conference begins on Page 2 of this issue, including the questions to be considered and the format.

To apply for these grants, write a letter to the Conference for Federal Studies Coordinator, Dr. Earl M. Baker, Center for the Study of Federalism, Temple University, Philadelphia, Pennsylvania, 19122. In the letter, include your present position and rank, your educational background and degrees, a brief description of your interests (teaching and/or research) in the field of intergovernmental relations in federal systems, and how attendance at the conference will benefit your institution. Also, enclose a proposed budget for your travel and incidental expenses. Letters should be received at the Center by October 1, 1975.

PARTNERSHIP WITHIN THE STATES: LOCAL
SELF-GOVERNMENT IN THE FEDERAL SYSTEM

The Fourth in the Series of TOWARD '76 Conferences

Outline

"Partnership Within the States: Local Self-Government in the Federal System," the fourth conference in the TOWARD '76 Series sponsored by the Center for the Study of Federalism, will be held in connection with the meetings of the National Municipal League and the Advisory Commission on Intergovernmental Relations in Chicago, Illinois, in November 1975. Co-sponsors of the conference include the Advisory Commission on Intergovernmental Relations; the Institute for Government and Public Affairs, University of Illinois; and the National Municipal League. The conference will be an examination of the effect on state-local relations of the factors of state constitutions and statutes, as well as the potential effects of political and cultural tradition or urbanization. That this is the centennial year of home rule underlines the importance of the conference. These areas will be discussed and methods for a continuing study will be presented.

Among the subjects to be examined will be:

- * The legal, philosophical and historical development of state-local relations in America. (John Bebout, University of Houston, Houston, Texas)
- * The pattern of fiscal relations between the states and localities. (Thomas J. Anton, Department of Political Science, University of Michigan)
- * The states: federal or unitary? (Daniel J. Elazar, Center for the Study of Federalism, Temple University)
- * Service functions and levels of government. (Alan Campbell, Maxwell Graduate School of Citizenship and Public Affairs, Syracuse University)
- * Legal observations--state-local relations. (Jefferson B. Fordham, Law School, University of Utah)

A series of state papers will also be prepared in which state structures will be reported. The states are:

California - Victor Jones and Stan Scott
Illinois - Samuel Gove and Stephanie Cole
Pennsylvania - Earl M. Baker
New England Region - Joseph Zimmerman
Florida - John De Grove

These papers will be presented at a workshop session of the conference. This series of papers will be able to draw on data supplied in short descriptive papers which are being prepared on many states. Specifically these papers will detail the provision for and implementation of home rule. The states are:

- Alaska - Victor Fischer
- Colorado - Thomas Kitsos
- Georgia - Vincent Marando
- Michigan - Authur Bromage
- Missouri - James Westbrook
- Montana - Dale Harris
- New Jersey - Ernest Reoch
- Ohio - John Hunger
- South Dakota - William Farber
- Virginia and Texas - Clifton McCleskey
- Wisconsin - A. Clarke Hagensick

These papers will not be presented at the conference.

Tentatively the conference will cover 2 1/2 days with sessions in the morning and afternoon. The state workshop will be held during an evening session. All papers for the conference will be distributed beforehand to participants to enable them to participate fully in discussions.

* * * * *

CONFERENCE QUESTIONS

1. Is the federal relationship established by the Constitution the model for state-local relations? Historically, are states unions or federal systems, and what is the implication of this for the American federal system?
2. What are the causes and consequences of state variations in the pattern of state-local relations?
3. How have states used federal legislation, pass-through programs and fiscal incentives to govern their localities?

CONFERENCE QUESTIONS (Continued)

4. How has "home rule" legislation changed prior existing state-local relations? Is there a pattern of differences associated with constitutional, legislative or permissive home rule provisions?

5. What is and what should be the locus of subnational initiative in a federal system? Is "home rule" essentially regressive in the face of modern metropolitan society?

6. How can criteria be chosen for determining the most effective economic and politically feasible provision of services within the state-local system? Are there new forms of local government which could be or should be utilized within the states?

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ANNOUNCEMENT OF FACULTY ASSOCIATE POSITIONS

Beginning with Fall 1975, the Center for the Study of Federalism will establish a new Visiting Faculty Associate Program for visiting scholars interested in undertaking research based at the Center. Visiting Faculty Associate positions, offered on an annual or semester basis, are non-remunerative and are intended to provide scholars who have outside means of support with a base of operations which would include:

- (1) office facilities and limited secretarial and research assistance;
 - (2) access to the research facilities of the Center and of Temple University;
 - (3) university affiliation;
- and,
- (4) participation in special activities of the Center.

It is possible that visiting faculty associates will be invited to participate in the funded research of the Center on an appropriate basis.

Applications should be sent to Daniel J. Elazar, Director, Center for the Study of Federalism, Temple University, Philadelphia, Pennsylvania 19122, and should include a brief statement of the proposed program of research, a resume, and the names of three references. A response to all applications will be given within a month of their receipt.

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FORSCHUNGSINSTITUT FUR FODERALISMUS UND REGIONALSTRUKTUREN
INSTITUT DE RECHERCHES SUR LE FEDERALISME ET LES STRUCTURES REGIONALES
JOINT CENTER FOR FEDERAL AND REGIONAL STUDIES

The Wenkenhof
Basel, Switzerland

The Joint Center for Federal and Regional Studies is an independent, non-profit research institution focusing on the problems of federal and intergovernmental relations, urbanization, and regional organization and cooperation. The Joint Center's concerns are international in scope with particular emphasis on Switzerland and federal and regional phenomena in Europe. The founding members of the Joint Center are:

Center for the Study of Federalism, Temple University

Foundation for Confederal Collaboration, Solothurn:
the association of Swiss cantons

Institute for European Studies, Geneva

Regio Basiliensis, Basel: the planning agency for the three
country metropolitan area of Basel

Swiss Political Science Association, Zurich

Its offices are located at the Wenkenhof, an estate owned by the canton of Basel-stadt and located in the suburb of Riehen. While the formal founding of the Joint Center took place in June 1975, the Center actually began its activities during the course of the previous year on a project basis.

The Joint Center is governed by an international board of Swiss and American representatives. The Chairman of the Board is Nello Celio, former president of Switzerland. The operations of the Joint Center are under the direction of an executive council chaired by Professor Daniel J. Elazar, Director of the Center for the Study of Federalism. The Director of the Joint Center is Dr. Max Frenkel, Executive Director of the Foundation for Confederal Collaboration.

Current projects of the Joint Center include:

1. Comparative Federalism, focusing on European federal systems and utilization of federal principles in European integration in connection with parallel projects examining federal systems on other continents.

2. The Sharing of Functions in the Swiss Federal System: this project will monitor developments in the Swiss federal system with special emphasis on processes of intergovernmental cooperation and conflict.

JOINT CENTER (Continued)

3. The Politics of Medium-Size Cities will monitor the politics of Swiss and other European cities with a population of 40,000-250,000 in connection with a similar project in the United States.

4. The Diffusion of Innovations Among Local Governments in connection with similar projects in the United States and Israel.

5. Regional Collaboration in Northwestern Switzerland: this project will monitor regional planning and development and intergovernmental cooperation in the cantons of northwestern Switzerland.

6. Metropolitan Planning in the Basel Frontier Region will monitor planning and development in the Basel metropolitan region which includes adjacent Swiss cantons, southern Alsace in France, and the southwestern section of the German Land of Baden-Wuerttemberg.

7. The Linkage Between Ideas and Behavior in Federal Systems focuses on the importance of the covenant idea as the basis of federal theory and its relationship to political culture and behavior in selected federal systems.

8. Manifestations of Associated State Federalism in Europe: this project, an extension of a larger study, involves the study of the European examples of association as a form of federalism (as in the case of Liechtenstein's association with Switzerland) as an alternative form of small state-major power relations.

Beginning in 1975-76, the Joint Center will award a number of Research Associate positions to American faculty members and graduate students with serious research interests in one or more of the above mentioned fields. Research positions, awarded on an annual and semester basis, are now remunerative and are intended to provide faculty and students who already have outside means of financial support with an institutional affiliation and base of operations. Applicants should possess a level of language skills and previous research experience necessary for applied research based in a German-speaking Swiss canton where French is a distant second language. For further information on the Joint Center and these positions, contact: Daniel J. Elazar, Director, Center for the Study of Federalism, Temple University, Philadelphia, Pennsylvania, 19122.

1974

INVENTORY OF FEDERALISM RESEARCH
 CONFERENCE FOR FEDERAL STUDIES MEMBERS

<u>Research Project</u>	<u>Investigators</u>	<u>Funding</u>	<u>CFS Member</u>
1. Impact of Federal Health Programs on State Health Policy Formation and Service Delivery (U.S.A.)	Christa Altenstetter, and others	Federal or State Grant	Christa Altenstetter, Queens College
1. Political Communication in a Metropolitan Area	William P. Angrick	Funded from own Institution	William P. Angrick, Drake University
2. Feasibility of Metropolitan Government for Greater Des Moines	William P. Angrick	Private Funding	
3. Comparative Study of Sub-State Districting Plans	William P. Angrick	Unfunded	
1. Intergovernmental Relations in Law Enforcement	Ruth Ross, and others	Funded from own Institution	George C. S. Benson, Claremont Men's College
2. Intergovernmental Relations in Welfare Field	George C. S. Benson	Funded from own Institution	
1. The Adoption of Innovations by Local Governments	Thomas P. McNaught	Federal or State Grant	Richard D. Bingham, Marquette U.
1. Omnibus Crime Control Act of 1968 - Impact in Missouri	Jerald Brekke	Funded from own Institution	Jerald Brekke, Northwest Montana State University

<u>Research Project</u>	<u>Investigators</u>	<u>Funding</u>	<u>CFS Member</u>
1. Councils of Governments in Texas	Daron K. Butler	Funded from own Institution	Daron K. Butler, Texas ACIR
2. Federal Expansion of the Role of the Governor: A Case Study in Texas	Daron K. Butler	Unfunded	
3. Impact of the Urban Mass Transportation Assistance Act on Texas State and Local Governments	Daron K. Butler	Funded from own Institution	
1. General Revenue Sharing	David A. Caputo, Richard L. Cole	Part Funded from own Institution; Part Private Funding	David A. Caputo, Purdue University
2. Community Development - Special Revenue Sharing	David A. Caputo	Funded from own Institution	
1. Comparative Study of Creative Federalism and New Federalism	Keon S. Chi	Unfunded	Keon S. Chi, Georgetown College, KY
2. Use of Revenue Sharing Funds in Kentucky	Keon S. Chi	Unfunded	
1. International Roles of Territorial and/or Ethnic Components in Federal States	Ivo D. Duchacek	Funded from own Institution	Ivo D. Duchacek, City University of New York
1. Areawide Government vs. Multiple Jurisdictions: An Examination of Two Urban Counties	Patricia S. Florestano	Unfunded	Patricia S. Florestano, University of Maryland

<u>Research Project</u>	<u>Investigators</u>	<u>Funding</u>	<u>CFS Member</u>
1. Local Politics in Colonial Virginia - Middle Sex County 1660-1700 as Case Study	Joseph F. Freeman	Part funded from own Institution; Part Private Funding	Joseph F. Freeman, Lynchburg College
2. Influence of Institutional Change on Public Perception of Local Governmental Services	Joseph F. Freeman (PI), C. William Hill, and Student Researchers	Unfunded	
1. Federalism and Public Opinion	Parris N. Glendening, Mavis Mann Reeves	Unfunded	Parris N. Glendening, University of Maryland
2. Public Opinion and Fiscal Federalism	Parris N. Glendening (PI), Mavis Mann Reeves	Unfunded	
3. Areal Divisions of Power: Citizens' Views of Federalism	Parris N. Glendening, Mavis Mann Reeves	Unfunded	
4. Regional Councils and Federal Policy	Parris N. Glendening	Unfunded	
1. Impact of Federal Structure of Government on Implementation of National New Communities Development Program	Margaret E. Goertz	Unfunded	Margaret E. Goertz, Trenton State College
1. Impacts of Block Grant Administration to the Partnership for Health Act of 1966 and Omnibus Crime Control and Safe Streets Act of 1968	Joel I. Gottlieb	Federal or State Grant	Joel I. Gottlieb, Research Group, Inc., Atlanta, GA

<u>Research Project</u>	<u>Investigators</u>	<u>Funding</u>	<u>CFS Member</u>
2. State Service Program Planning under Title XX of the Social Security Amendments	Joel I. Gottlieb	Federal or State Grant	Joel I. Gottlieb, The Research Group, Inc. (con't.)
3a. Assessment of Impacts of Federal Water Pollution Control Acts on the Kanowha River Basin, Ohio Main Stem River Basin	a. Joel I. Gottlieb, Dames & Moore, Michael Baker, Jr., W.E. Gates (The Research Group, Inc.)	Federal or State Grant	
b. Chattahoochee-Flint Apalachicola River Basin	b. Hamer, Siler, George Assoc., Water Resources Engineering, Beak Consultants, Inc., Harmon Engineering & The Research Group, Inc.		
4. Intergovernmental Cooperation Study	The Research Group, Inc.	Appalachian Regional Commission	
5. Relationship of Health Programs and Human Services Integration	The Research Group Inc., Linton & Co., Marshall Kaplan, & Gans and Kahn	U.S. Department of Health, Education and Welfare	
1. Conditions Under Which a Wisconsin County Will Adopt an Ordinance Regulating Erosion of Agricultural and Urban Land	Henry C. Hart, Carlisle Runge, Richard Day, & Ed Schten	Federal or State Grant	Henry C. Hart, University of Wisconsin - Madison
2. Conditions of Political Feasibility of Disposal of Sewage by Recycling on the Land	Henry C. Hart (PI), Research Team not yet Assembled	Unfunded	
1. Disability Insurance: A Case Study of State Influence Over a Federal Program	Irving Howards (PI), Albert Chevan	Federal or State Grant	Irving Howards, University of Massachusetts

<u>Research Project</u>	<u>Investigators</u>	<u>Funding</u>	<u>CFS Member</u>
1. Federal Regional Councils: An Organizational Analysis of a Federal Device for Promoting Interdepartmental and Intergovernmental Cooperation	Edward S. Kiely	Unfunded	Edward S. Kiely, University of Pittsburgh
1. A Note on Federalism in Yugoslavia	Vukan Kuic	Unfunded	Vukan Kuic, University of South Carolina
2. The Study of Federalism as a Model of Political Science	Vukan Kuic	Unfunded	
1. The Presidency and American Federalism	Carl Lieberman	Funded from own Institution	Carl Lieberman, University of Akron
1. City Implementation of Federal Social Policies: Effect on Responsiveness to Minorities in 10 Northern CA Cities	Dale Rogers Marshall, Rufus Browning and Dave Tabb	Funded from own Institution	Dale Rogers Marshall, University of California at Davis
1. Monitoring Revenue Sharing	Richard Nathan (PI), Kenneth T. Palmer (Maine)	Information Not Given	Kenneth T. Palmer, University of Maine
2. Interest Groups and American Federalism	Kenneth T. Palmer		
1. Evaluating the Organization of Service Delivery: Police	Roger B. Parks, Gordon P. Whitaker	Federal or State Grant	Roger B. Parks, Indiana University

Research Project Investigators Funding CFS Member

1. Manpower Revenue Sharing in Four Illinois Cities	Michael Preston	Unfunded	Michael Preston
1. Areal Divisions of Power: Citizens' Views of Federalism	Mavis Mann Reeves, Parris N. Glendening	Unfunded	Mavis Mann Reeves, University of Maryland
2. Public Opinion and Fiscal Federalism	Mavis Mann Reeves, Parris N. Glendening	Unfunded	
3. Public Opinion and Federalism	Mavis Mann Reeves, Parris N. Glendening	Unfunded	
1. Kidney Therapy and Public Policy: A Study of Medical Innovation	Richard A. Rettig (PI), and others	Federal or State Grant	Richard A. Rettig, Ohio State University
1. Revenue Sharing Allocation Formula Analysis - Correspondence to Need	John Ross (PI), Thomas Watts, Ann Watts, Richard Gustely	Federal or State Grant	John Ross
2. The Interaction of Block Grants and Categorical Grants	John Ross	Unfunded	
1. Monograph on Two "Cities of the Prairie" - Champaign-Urbana	Rozann Rothman	Private Funding	Rozann Rothman University of Illinois at Urbana
2. Theory of Federalism - Fitting Current Intergovernmental Practices	Rozann Rothman	Unfunded	

<u>Research Project</u>	<u>Investigators</u>	<u>Funding</u>	<u>CFS Member</u>
1. University and Educational Reform in the West German Federal System	Robert L. Rothweiler	Unfunded	Robert L. Rothweiler, University of Wisconsin, Whitewater
1. Policy Innovativeness as a Factor in the Acceptance of Federal Grant-In-Aid Programs	Bob Savage	Unfunded	Bob Savage, University of Arkansas
2. Intrastate and Intraregional Variations in Political Culture	Bob Savage	Unfunded	
1. Courts and the Governmental Process in Canada	G.C. Sharman	Part Funded from own Institution; Part from Federal or State Grant	G.C. Sharman, University of Tasmania
2. Role of the Premiers' Conference in the Australian Federal System	G.C. Sharman	Part Funded from own Institution; Part from Federal or State Grant	
1. Intergovernmental Grant System: Policies, Processes & Alternatives; Federal Categories Revisited	David B. Walker (PI), Albert J. Richter, David Beam, Harriet Halper, Natalie Cutler	Part from own Institution; Part Federal Grant	David B. Walker, ACIR
2. Block Grants	David B. Walker (PI), Carl W. Stenberg, Robert Baitty, Keith Miles & Howard McDonough	Part from own Institution; Part Federal Grant	

<u>Research Project</u>	<u>Investigators</u>	<u>Funding</u>	<u>CFS Member</u>
3. State Servicing and Aid Roles	David B. Walker (PI), L. Richard Gabler, & Albert J. Richter	Part Funded from own Institution; Part from Federal Grant	David B. Walker, ACIR
1. "Yours to Command": Texas Ranger Captain William J. "Bill" McDonald & the Intergovernmental Relations between Old West Peace Officers	Harold J. Weiss	Unfunded	Harold J. Weiss, Jr., Jamestown Community College
1. Rights of the Incarcerated - the Impact of Recent Federal Court Decisions on the Federal System	Raymond B. Wells	Unfunded	Raymond B. Wells, Auburn University, Montgomery
1. Impact of "New Federalism" on Social Welfare	Gerald R. Wheeler	Information Not Given	Gerald R. Wheeler, University of Maryland
1. Study of State Politics and Political Change in the Deep South in the 1970's	Robert K. Whelan, & Michael W. McKinney	Funded from own Institution	Robert K. Whelan, Georgia Institute of Technology
2. Study of Mayoral Roles and Mayoral Politics	Robert K. Whelan	Funded from own Institution	
1. Salience of the State in the Federal System: A Comparative Analysis of Local Elite Orientations in California	Goetz Wolff	Private Funding	Goetz Wolff, University of North Carolina at Asheville

<u>Research Project</u>	<u>Investigators</u>	<u>Funding</u>	<u>CFS Member</u>
1. Processes of Suburbanization and Suburban Politics	Joseph Zikmund	Unfunded	Joseph Zikmund, Illinois Institute of Technology
2. Urban Planning in Poland	Joseph Zikmund	Unfunded	

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