



Conference for Federal Studies

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NEH PLANNING GRANT AWARDED TO JOHN KINCAID

John Kincaid, Department of Political Science, North Texas State University, has received for the Association for Higher Education of North Texas a planning grant from the National Endowment for the Humanities to develop public education programs for the Bicentennial of the U.S. Constitution. The project will explore the feasibility of developing public television programming, photographic slide presentations, and public exhibits on various aspects and themes pertaining to the Constitution.

PLEASE NOTE: Publius: The Journal of Federalism is running a bit late due to problems with the former printer. All editions will be forthcoming, and the Journal should be back on schedule in the not-too-distant future.

1982

INVENTORY OF FEDERALISM RESEARCH
OF
CONFERENCE FOR FEDERAL STUDIES MEMBERS

NAME: Advisory Commission on Intergovernmental Relations
1111 20th Street, N.W.
Washington, D.C. 20575

CURRENT RESEARCH:

1. "Jails: Intergovernmental Dimensions of a Local Problem"

Includes examination of (1) intergovernmental relationships (both state-local and federal-local) affecting local corrections; (2) innovative local, interlocal, state-local, and federal-local approaches to the so-called jail crisis;" and (3) alternatives to incarceration at the local level.

Starting Date: October 1, 1981 Completion Date: February 28, 1983

For More Information: Contact Cynthia Cates Colella or Albert J. Richter at
(202) 653-5544 or at the Advisory Commission address

2. "Regulatory Federalism: Policy, Process Impact, and Reform"

An examination of the newer forms of federal regulation affecting state and local governments, including political, fiscal, legal, and administrative issues, with recommendations for reform.

Starting Date: January, 1981 Completion Date: February, 1983

For More Information: Contact David R. Beam or David B. Walker at (202)
653-5544 or at the Advisory Commission address.

NAME: Jon A. Baer
Graduate School of Public Affairs
State University of New York at Albany
Albany, New York 12203

CURRENT RESEARCH:

1. "State Oversight of Local Finance in New York State"

A research project designed to suggest means of imposing rationality and flexibility upon New York State's system of oversight of local finance. Emphasis is upon the constitutional debt and tax limits.

Jon A Baer (cont'd)

Starting Date: October 15, 1982

Completion Date: May 1, 1983

For More Information: Contact Jon A. Baer at Franklin Avenue, Box 743,
Sag Harbor, New York 11963

RECENT PUBLICATIONS:

1. "Interjurisdictional Service Agreements for Police Functions: The Special Case of Eastern Suffolk County, New York," State and Local Government Review, 14:1 (January, 1982), 25-31.

Survey results support the argument that service agreements are a practical alternative to consolidation of services.

2. "Municipal Debt and Tax Limits: Constraints on Home Rule," National Civic Review, 70:4 (April, 1981), 204-10.

A review of the pros and cons of state-imposed debt and tax limits on municipalities and how such limits are circumvented.

NAME: Herman L. Boschken
Organization and Management
School of Business
San Jose State University
San Jose, California 95192
(on leave with a Senior Fulbright Award at Umea, Sweden until June, 1983)

CURRENT RESEARCH:

1. "Port Authorities as Public Enterprises: Environmental and Economic Conflicts at West Coast Seaports"

An analysis of organizational change and enterprise behavior at seaports during a period of significant technological development and environmental regulation. Focuses on conflict management in a setting of intergovernmental networks.

Starting Date/Completion Date: Project continues from a 1980 starting date. Report available early in 1983 from IMCS-Sea Grant Program, University of Southern California, Los Angeles, California 90007.

Also, see published article: Boschken, "The Demands of Conflicting Change on Public Enterprise: West Coast Seaport Development and Environmental Regulation," Public Administration Review, Vol. 42, No. 3 (1982).

RECENT PUBLICATIONS:

1. Land Use Conflicts: Organizational Design and Resource Management
Champaign, Illinois: University of Illinois Press, (1982).

Alternative decision rules and organizational forms in land use control and resource administration. Book emphasizes intergovernmental relations and public policy.

Herman L. Boschken (cont'd)

2. "Organizational Theory and Federalism: Intergovernmental Networks and the Political Economy of the Federalist," Organizational Studies, Vol. 13, No. 4 (1982).

An analysis of the Federalist using the frame of modern organizational theory. Article suggests that enough of the indicators of modern political economy were present for the Founding Fathers to design a government contingent on those conditions.

NAME: Beverly A. Cigler
Department of Political Science & Public Administration
North Carolina State University
Raleigh, North Carolina 27650

CURRENT RESEARCH:

1. "Evaluation of State Programs for Flood Hazard Mitigation"

Co-principal investigator with Raymond J. Buby, UNC-Chapel Hill. NSF-funded, 50 state comparative evaluation of organizational structures, processes, etc. for flood hazard mitigation

Starting Date: September 1, 1982 Completion Date: Approx. Sept. 1, 1984

For More Information: Contact the investigators at
The Center for Urban and Regional Studies
University of North Carolina
Chapel Hill, North Carolina 27514

2. "Building Local Government Technical Capacity: Municipal and County Attorneys and Engineers"

Comparative local study of influence of attorneys and engineers on local decision making

For More Information: Contact Beverly A. Cigler
Dept. of Political Science & Public Administration
Box 5305
North Carolina State University
Raleigh, North Carolina 27650

RECENT PUBLICATIONS:

1. "Energy Programs: Untapped Cost Savings for Small Governments," Municipal Management: A Journal, Vol. 5, No. 1 (Summer 1982). (co-authored with J. Kent Crawford)
2. "Implementing Community Energy Management Strategies," in Max Neiman and Barbara J. Burt (eds.), The Social Constraints of Energy Policy Implementation (Lexington, Massachusetts: Lexington Books, 1982).

Beverly A. Cigler (cont'd)

3. "Intergovernmental Roles in Local Energy Conservation: A Research Frontier," Policy Studies Review, Vol. 1, No. 4 (May 1982), pp. 761-776.
 4. "Community Energy Planning and Management," Journal of the American Planning Association, Vol. 48, No. 2 (Spring 1982), pp. 245-248. (book review cluster essay)
 5. "Energy Center Assists Local Officials," Public Administration Times, Vol. 5, No. 9 (May 1, 1982), p. 4.
 6. "Housing and Public Policy in America," Public Administration Review, Vol. 42, No. 1 (January/February 1982), pp. 90-96. (book review essay co-authored with Michael L. Vasu).
 7. "Organizing for Local Energy Management: Early Lessons," Public Administration Review, Vol. 41, No. 4 (July/August 1981), pp. 470-479.
 8. "Mandated Expertise: Consultants and Local Capacity-Building," Urban Affairs Papers (now, Journal of Urban Affairs), Vol. 3, No. 1 (Winter 1981), pp. 23-32.
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NAME: David C. Colby
Department of Political Science
Williams College
Williamstown, Maine 01267

CURRENT RESEARCH:

1. "Black Power and Policy Change"

Examines the effects of black power on policy changes in Mississippi from 1960-1980.

Starting Date: August, 1980

Completion Date: 1983

RECENT PUBLICATIONS:

1. "A Test of the Relative Efficacy of Political Tactics," American Journal of Political Science, forthcoming.
2. "The Politics of Health Planning: An Examination of Legislative Interference in Certificate of Need Decisions," Journal of Health and Human Resources Administration, (with P. Monypenny and D. Baker), forthcoming.
3. "Controlling Medicaid Costs: What Illinois Is Doing and What It Can Do," Illinois Issues, Vol. VIII, No. 6, June 1982, pp. 16-19 (with C. Begley).
4. "Black Power and State Welfare Policy," The Review of Black Political Economy, Vol. 11, No. 4 (Summer 1982), pp. 465-476.
5. "Regulating Hospital Facilities Construction: The Illinois Experience, 1975-1979," Illinois Government Research, No. 55 (January 1982) (With C. Begley).

David C. Colby (cont'd)

6. "Functional Fiefdom, Poverty Model or Individualism? Development of Intergovernmental Relations in Health Planning," Health Policy and Education, Vol. 2 (1981), pp. 153-165.
 7. "The Politics of Municipal Employment Policy: A Comparative Study of U.S. Cities," American Journal of Economics and Sociology, Vol. 40, No. 3 (1981), pp. 249-263 (with D. Baker).
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NAME: Timothy J. Conlan
Advisory Commission on Intergovernmental Relations
1111 20th Street, N.W.
Washington, D.C. 20575

CURRENT RESEARCH:

1. "Regulatory Federalism: Policy, Process, Impact and Reform"

Studies the growth, legislative origins, and legal context of federal regulation of state and local governments. Also reviews research on the costs of regulation and suggests reforms.

Starting Date: January, 1981

Completion Date: March, 1983

For Further Information and Final Report Write Tim Conlan or Dave Beam at:
ACIR, 1111 20th Street, N.W., Washington, D.C. 20575

RECENT PUBLICATIONS:

1. "Back in Vogue: The Politics of Block Grant Legislation," Intergovernmental Perspective (Spring, 1981).

Describes the history of block grant proposals, and the political factors associated with their enactment. Single copies free from ACIR, 1111 20th Str., N.W., Washington, D.C. 20575

2. "Government in Three Dimensions: Implications of a Changing Federalism for Political Science," (with David R. Beam and David B. Walker) in Ada Finifter and Seymour Martin Lipset, eds., Political Science: The State of the Discipline (APSA, forthcoming).

Reviews classical and contemporary research on federalism and assesses the implications for political science. Volume will be sold by the American Political Science Association, 1527 New Hampshire Ave., N.W., Washington, D.C. 20036. Single chapter available from authors.

NAME: Dr. Ivo D. Duchacek
P.O. Box 134
Kent, Connecticut 06757

CURRENT RESEARCH:

1. "Perforated Sovereignties: International Roles of Subnational Governments"

Comparative analysis of transborder relations - contacts and compacts - between neighboring federal componenets - U.S./Canada; U.S./Mexico; Switzerland/West Germany; and Switzerland/France

Starting Date: July, 1982

Completion Date: January, 1984

2. "International Non-Capital Cities: Roles and Problems"

Comparative Analysis of the "internationalization" of municipal politics of cities (a) hosts to international organizations New York City, Montreal, Strasbourg, and Geneva; (b) border, divided, and twin cities; (c) world centers of commerce and communication; (d) polyethnic cities

Starting Date: January, 1983

Completion Date: January, 1985

Preliminary reports resulting from a series of workshops, dealing with the above subjects, held at City University of New York, available at the CUNY Academy of Humanities and Sciences, 33 West 42nd Street, New York, N.Y. 10036.

NAME: Daniel J. Elazar
Center for the Study of Federalism
Temple University
Philadelphia, PA 19122

Department of Political Studies
Bar-Ilan University
Ramat-Gan, Israel

CURRENT RESEARCH:

1. "Political Theories of American State Constitutions"

Study to investigate the political theories and philosophic assumptions of American state constitutions; to identify the various state constitutional patterns or traditions which embody these theories and to discover basic continuities in American political culture as they are reflected in these various state constitutional patterns.

Starting Date: November 1, 1980

Completion Date: October 31, 1983

2. "Federal Solutions in the Israel-Arab Conflict"

An examination of all the various options possible, utilizing federal principles, for developing a comprehensive solution to the problem of peace between Israel, Jordan, and the Palestinians. Several completed working papers have been circulated among relevant parties, and some of these materials are now being made public.

Daniel J. Elazar (cont'd)

3. "Exploring Federalism"

Completed a book on comparative federalism which explores the extent to which the American system is special, unique and different as "everybody" says it is and the extent to which it is like other federal systems.

4. "Medium-size cities in the Mid-West and West"

Completed research on the follow-up study of the Cities of the Prairie Project.

RECENT PUBLICATIONS:

1. Governing Peoples and Territories, editor and contributor (Philadelphia: ISHI).
 2. Judea, Samaria, and Gaza: Views on the Present and Future, editor and contributor (Washington, DC: American Enterprise Institute).
 3. State Constitutional Design in Federal Systems, editor with Stephen L. Schechter, and contributor, Publius (Vol. 12, no. 1).
 4. "American Federalism Today: Practice Versus Principle" in Robert B. Hawkins, Jr., ed., American Federalism: A New Partnership for the Republic (San Francisco: Institute for Contemporary Studies).
 5. "Israel's Attitudes to the Palestinians" in George Gruen, ed., The Palestinians in Perspective (New York: Institute of Human Relations Press).
 6. "Religion and Politics in the Begin Era" in Robert O. Freedman, ed., Israel in the Begin Era (Praeger).
 7. "Steps in the Study of American Political Culture" in John Kincaid, ed., Political Culture, Public Policy, and the American States (Philadelphia: ISHI).
 8. "Federalism, Centralization, and State-Building in the Modern Epoch," introduction to Publius, Vol. 12, No. 3.
 9. "The Principles and Traditions Underlying American State Constitutions," Publius, Vol. 12, No. 1 (Winter).
 10. "Shared Rule: Scenario for the West Bank," With Shmuel Sandler, Journal of Contemporary Studies, V-1 (Winter).
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NAME: John M. Kamensky
U.S. General Accounting Office
Room 6077
441 G Street, N.W.
Washington, D.C. 20548

CURRENT RESEARCH:

1. "The Effects of Changes in the Municipal Bond Market on the Financing of Public Infrastructure"

Reviews structural changes in tax exempt bond market over past 10 years, effects on state and local efforts to finance infrastructure. Examines federal, state, and local roles, identifies alternative financing techniques used in New York, Texas, and Massachusetts.

Starting Date: July, 1982

Completion Date: June, 1983

Final Report will be available from: U.S. General Accounting Office
Documents Handling Facility
P.O. Box 6015
Gaithersburg, MD 20760 (first copy free)

RECENT PUBLICATIONS:

1. "Including User Charges in the General Revenue Sharing Formula Could Broaden the Measure of Revenue Effort," (GAOPAD 82-23).

Examines the desirability of broadening the definition of "user fees" used in developing the fiscal effort component of the Federal General Revenue Sharing Formula. Such a change would improve targeting of funds, it finds.

2. "Let's Get Organized! Congress Considers Creating a New Hoover Commission," GAO Review, Fall, 1982.

Describes the operation and results of the 1947 Hoover Commission on Federal Government reorganization. Compares it to current proposals pending before Congress to replicate that effort.

Both publications available from the U.S. GAO Documents Handling Facility.
Publication 2. is \$4.00.

NAME: Ellis Katz
Center for the Study of Federalism
Temple University
Philadelphia, PA 19122

CURRENT RESEARCH:

1. "Political Theories of Pennsylvania Constitutionalism"

This is part of a larger project on state constitutions being conducted by the Center for the Study of Federalism.

Starting Date: Spring, 1983 Completion Date: Spring, 1984

2. "The Treatment of Federalism in High School Civics and History Texts"

This is part of an NIE supported project entitled "Utilizing Federalist Principles in Civic Education" being conducted by the Center for the Study of Federalism.

Starting Date: Fall, 1982 Completion Date: Fall, 1983

RECENT PUBLICATIONS:

1. American Education 1980: A View From the States (Washington, D.C.: Institute for Educational Leadership, 1981).
 2. "Pennsylvania," in Susan Fuhrman and Alan Rosenthal (eds.), Shaping Education Policy in the States (Washington, D.C.: Institute for Educational Leadership, 1981).
 3. "The Six Roles of Judicial Planners," Court Management Journal (Jan., 1981), pp. 4-7.
 4. "Federal Roles in Education," The Interstate Compact for Education, XV (Summer, 1981), pp. 10-11; 30.
 5. Politics and Governance at the State Level: State Political Processes and Educational Policy (Washington, D.C.: National Institute of Education, 1981).
 6. "Sistema Intergovernmental Norte-Americano," Revista de Administracao 28 (Oct.-Dec., 1981), pp. 52-71.
 7. "Balancing the Federal System" in Lucinda Simon (ed.) The Legislature: Its Role, People and Management (Denver, CO: National Conference of State Legislatures, 1982), pp. 23-34.
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NAME: Harvey Lieber
School of Government and Public Administration
American University
Washington, D.C. 20016

CURRENT RESEARCH:

1. "Federalism and Hazardous Waste Policy"

Intergovernmental relations in the implementation of the hazardous waste provisions of the Resource Conservation and Recovery Act of 1976.

Completion Date: Spring, 1983

RECENT PUBLICATIONS:

1. "Institutional Arrangements for Areawide Water Resources Management in the Washington Region," Washington, D.C., Water Resources Research Center, Report No. 17.

Study of intergovernmental conflicts in water pollution and areawide planning.

Report is available from: Water Resources Center
University of D.C.
4200 Connecticut Avenue
Washington, D.C. 20008

NAME: Alfred R. Light
Hunton and Williams
P.O. Box 1535
Richmond, Virginia 23212

CURRENT RESEARCH:

1. "Superfund 301(e) Study"

Congressionally-mandated study to assess legal barriers to recovery for those exposed to toxic substances. Recommendations to Congress and state governments for reform.

Completion Date: September 1, 1982

For information contact: Senate Environment and Public Works Committee,
Washington, D.C.; or

W.L. Wallace
U.S. Department of Justice
Rm. 2617 Main Justice Bldg.
Washington, D.C. 20530

Alfred R. Light (cont'd)

2. "Federal Common Law"

Legal questions involved in judicial creation of federal common law to supplement state statutory and common law, and federal statutory remedies to abate pollution or to provide damages for pollution-related injuries or economic loss.

For further information contact Alfred R. Light

RECENT PUBLICATIONS:

1. "The 'Long Tail' of Liability: Hazardous Waste Disposal Insurance and the Superfund Act's Post-Closure Liability Trust Fund," Virginia Journal of Natural Resources Law, (Summer, 1982).

Legal problems in insuring against damages which arise out of hazardous waste disposal over a long period of time.

2. "State Severance Taxes Fuel Court Action and Congressional Debate," Publius (Summer, 1981).

Review of constitutional issues involved in limiting state severance taxes on minerals.

NAME: Catherine Lovell
Graduate School of Administration
University of California
Riverside, California 92521

CURRENT RESEARCH:

1. "Analysis of Regulatory Changes Affecting State and Local Governments Under the Reagan Domestic Program" -- NSF funded

Compares intergovernmental regulations under the Reagan administration to regulations under previous administrations. Compares state regulations under the block grants to federal regulations which had been attached to categorical grants subsumed.

Starting Date: May, 1982

Completion Date: May, 1984

For Further Information contact Catherine Lovell.

NAME: Donald S. Lutz
Department of Political Science
University of Houston
Houston, Texas 77004

CURRENT RESEARCH:

1. "The Founding Era in America: Its Fundamental Convictions and Assumptions"

A book length monograph in preparation with Charles S. Hyneman. It will be an analysis of the contents of 515 pamphlets and newspaper articles published during the era.

Starting Date: 1974

Completion Date: August, 1983

2. "The Relative Influence of European Writers on Late Eighteenth Century American Political Thought"

Using a count of the citations found in the 515 items mentioned in the previous project, the relative influence of specific European authors and categories of authors will be determined.

Starting Date: June, 1982

Completion Date: April, 1983

3. "Virtue and the Federal Republic"

Part of a project for an N.I.E. grant on citizenship education. An analysis of the extent to which a concept of virtue underlay both Federalist and Antifederalist conceptions of politics.

Starting Date: June, 1982

Completion Date: May, 1983

4. "The Origins of American Constitutionalism"

Preparation of a book length monograph which examines in detail the contributions of covenant theology, colonial political practice, English Whig thought, Enlightenment thought, and English Common Law ideas to American constitutionalism.

Starting Date: June, 1980

Completion Date: August, 1984

RECENT PUBLICATIONS:

1. American Political Writing During the Founding Era: 1760-1805 (2 vols., 1,500pp) (Indianapolis: Liberty Press, 1983), Charles S. Hyneman, co-editor.

Seventy-six pamphlets and newspaper articles representing the best political writing from the era not now easily available in print.

2. Documents of Political Foundation by Colonial Americans (Philadelphia: Institute for the Study of Human Issues, 1984).

Donald S. Lutz (cont'd)

The first comprehensive collection of covenants, compacts, constitutions, etc. used by colonists to found their respective communities between 1611 and 1700. An analysis of the documents is included.

3. "The Purposes of American State Constitutions," Publius: The Journal of Federalism, Vol. 12, No. 1 (Winter, 1982), pp. 27-44
4. "Dimensions of Liberty in the U.S. Constitution: Covenantal Contributions," 50 page monograph published by the Center for the Study of Federalism, 1982.

A sorting out of the ways in which covenantal ideas and the history of their use in America affecting the content of the U.S. Constitution.

5. "A Bibliography of American Political Writings from the Founding Era," Charles S. Hyneman, co-compiler, 76 pp., published by the Center for the Study of Federalism, 1982.

A bibliography with annotation of several thousand items published between 1760 and 1805 on politics by Americans. Each is categorized as to the quality of its contents.

NAME: Professor Richard Rose, Director
Centre for the Study of Public Policy
University of Strathclyde
McCance Building, 16 Richmond Street
Glasgow G1 1XQ Scotland

CURRENT RESEARCH:

1. "The Growth of Government Since 1945"

Starting Date: January, 1982

Completion Date: 1986

PUBLICATIONS:

1. The Territorial Dimension in Government: Understanding the United Kingdom (Chatham House, 1982).
2. United Kingdom Facts (with Ian McAllister) (New York: Holmes & Meier).

A reference book with basic social, economic, and political data on Scotland, Wales, and Northern Ireland in a comparative context of United Kingdom studies.

NAME: William H. Stewart
Department of Political Science
Drawer I
University of Alabama
University, Alabama 35486

CURRENT RESEARCH:

1. "Concepts of Federalism"

Identification of modular and other conceptualizations of federalism as developed in the United States and from a comparative perspective.

Starting Date: 1977

Completion Date: 1983

RECENT PUBLICATIONS:

1. "Metaphors, Models, and the Development of Federal Theory," Publius, Vol. 12 (Spring, 1982), pp. 5-24.

Introductory examination of the utility of figurative and literal descriptors in the advancement of understandings of federalism.

OUTLINE OF A GRADUATE COURSE IN AMERICAN INTERGOVERNMENTAL RELATIONS

Graduate Program in Public Administration
Northeastern University
In Cooperation With
The Boston Federal Executive Board

Robert J. M. O'Hare, Instructor

AMERICAN INTERGOVERNMENTAL RELATIONS
Introduction

This course centers around a discussion between instructor and class members on the origins and development of the continuing relationships between and among agencies of the federal government, the state governments and units of local government.

These intergovernmental relations (IGR) are fundamental to an understanding of governmental programs and issues, and governmental organization and structure in modern society. The objective of the course is to discern ways of improving governmental management mechanisms, policies and practices within the federal system. Because there are no pat answers to problems that arise between governments, class members are encouraged to participate fully in class discussion and in undertaking research that may point a direction for the resolution of a problem or issue in this context.

This is definitely not a lecture course. It is a joint discussion entered into between the instructor and class members, and among class members themselves. Hence, active participation is a requisite for the course.

Course Foundation:

The success of this course depends on adequate preparation and participation by class members and instructor alike. The building blocks of the course are:

- (a) Class participation in discussion..... 25%
- (b) Research endeavor..... 45%
- (c) Final examination..... 30%

Participation in Class Discussion

The field of intergovernmental relations (IGR) is forever changing, so much so that there are few experts who can talk to or write well on the intricate and complex issues involved. Since this is the case, it is doubly important that each and every class member will be assigned at the outset to discuss an assigned component of the theme (below). Do not wait for the instructor to lead the discussion--be a leader yourself.

Research Endeavor - Theme: "Improving the Intergovernmental Relations System"

The research endeavor is considered the cornerstone of this course and is weighted accordingly. This is not the usual term paper. All class members are invited to be highly innovative in their research on an assigned aspect of this theme. What is looked for are specifics involving two (2) or more jurisdictions at two (2) or more levels of governmental activity, or two (2) or more jurisdictions at the same level of activity.

The by-product of the research is submission of a paper that addresses itself to the theme assignment. The length of the paper will not be a factor in the final grade. Instead, the quality of the research and the reporting of it will be considered as one, and a grade will be given, based on these factors:

- (a) quality of the research itself--has the class member been thorough in looking at the issue/problem/relationship?
- (b) the depths of the research--does the paper reflect the amount of research necessary to bring about a completed project?
- (c) quality of innovation--what are the results attained? What new research techniques are exhibited?
- (d) orderly presentation of material--is there a logical build-up of the material so as to leave little doubt about the conclusions arrived at by the preparer?

Class members are at liberty in introducing their own style of writing, including footnoting, providing that the style is consistent throughout the paper. Members who intend to do more writing as time passes are encouraged to utilize a more standard reporting style. One acknowledged guide is: Turabian, Kate L., A Manual for Writers of Term Papers, Theses, and Dissertations, University of Chicago Press, Chicago, 1955. Other style guides are available and can be used.

Class members are urged to adhere to the following timetable for their research paper:

1. Selection of topic and submission of paper outline.....4th class
2. Selection of bibliography (and any final revisions to topic or outline).....6th class
3. Submission of final paper--(original and one copy).....No later than class prior to final exam.

Final Examination

There will be a final examination, held on the last day of class. Examination questions will be based entirely on material provided in the required texts for the course. Caveat--Keep up with your required readings.

The instructor will review important materials during the last class session prior to final examination.

Instructor's Caveat

The instructor finds the combination of both texts to be suitable coverage of the field of intergovernmental relations (IGR). The last comprehensive text in the field was written by W. Brooke Graves under the title of American Intergovernmental Relations in 1964. It is still an excellent reference work. However, since so much has transpired since 1964 in intergovernmental relations, it is dated and out of print. In addition, much of the current available material written in this field lacks the comprehensiveness of the Graves' work.

Due to the ever-changing nature of intergovernmental relations, it is difficult at any one time to capture a solid body of knowledge within the covers of a single text.

The publications suggested for this course together cover the field in a fairly comprehensive manner and they do target in on many of the critical areas.

The syllabus attempts to suggest additional readings to class members.

In terms of a single source of information, the many reports of the U.S. Advisory Commission on Intergovernmental Relations (Washington, D.C. 20575) should be referenced. Normally, individual copies of reports can be secured without charge directly from the Commission.

The instructor lends a willing ear to any and all recommendations of better texts.

Texts for the Course:

There will be two (2) texts required for this course, both of which are paperbacks.

1. The principal text is:

Glendening, Parris N. and Reeves, Mavis M.,
Pragmatic Federalism, Palisades Publishers,
Pacific Palisades, California, 1977 - 334 pgs.

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2. The secondary text is a volume of readings in
American Intergovernmental Relations -
Feld, Richard D. and Grafton, Carl, The Uneasy
Partnership, National Press Books, Palo Alto,
California, 1973 - 322 pgs.

Course Outline

<u>Class No.</u>	<u>Date</u>	<u>Course Discussion</u>
1st Week	10/1	Opening of Course--Discussion of Elements--Origins of IGR
2nd Week	10/8	Constitutional Issues and Dynamics of Intergovernmental Relations
3rd Week	10/15	The Actors in Intergovernmental Relations
4th Week	10/22	Federal/State Relations
5th Week	10/29	Interstate Relations
6th Week	11/5	State/Local Relations
7th Week	11/12	Federal/Local Relations
8th Week	11/19	Inter-Local Relations
9th Week	11/26	Intergovernmental Fiscal Issues
10th Week	12/3	Current State of Affairs
11th Week	12/10	The Future of Intergovernmental Relations
12th Week	12/17	Final Examination

First Week

1. Discussion of Course Outline.
2. Discussion of A Single Area of Intergovernmental Relations as a Case Study: Intergovernmental Personnel Act of 1970 (Materials to be provided by instructor).
3. Discussion of the Origins of Federalism.

Required Reading:

The Intergovernmental Personnel Act - Improving Public Service Delivery, Bureau of Intergovernmental Personnel Programs, U.S. Civil Service Commission, Washington, D.C., January, 1978, p. 20.

Pragmatic Federalism, Chapter 3, "National-State Relations - Evolving Federalism."

Suggested Reading:

Strengthening Public Management in the Intergovernmental System, A Report Prepared for the Office of Management and Budget by the Study Committee on Policy Management Assistance, Washington, D.C., 1975, p. 63.

Feld, Richard D. and Grafton, Carl, The Uneasy Partnership (hereafter referred to by title only), National Press Books, Palo Alto, California, 1973, Chapter 1, Article 1, "Federalism in Transition: The Dynamics of Change and Continuity."

Graves, W. Brooke, American Intergovernmental Relations, Scribners, New York, 1964, Chapters I and II, "Historical Background."

Second Week

Constitutional Issues and Dynamics of Intergovernmental Relations

Required Reading:

Glendening, P.N. and Reeves, M.M., Pragmatic Federalism (hereafter referred to by title only), Chapter 1, "Federalism in Transition."

Suggested Reading:

"The Problem of Big Government," Herbert J. Storing and "The Case for States Rights," James J. Kilpatrick, A Nation of States.

"Constitutional Provisions," Chapter IV and "Judicial Relations in Federalism," Chapter VII, Graves, American Intergovernmental Relations, Scribners, New York, 1964.

DeTocqueville, Alexis, Democracy in America, specially edited by Richard D. Heffner, Mentor Books, New York, 1956, pg. 317, Part 1, "Origins of the Anglo-Americans."

Second Week (Con't)

Suggested Reading:

The Uneasy Partnership, Chapter I, Article 3, "The Problem of Coordination in a Changing Federalism" by James L. Sundquist with David W. Davis.

Third Week

The Actors in Intergovernmental Relations

Required Reading:

Pragmatic Federalism, Chapter 2, "The Human Factor: People in Intergovernmental Relations."

Suggested Reading:

The Uneasy Partnership, Chapter 3, Article 10, "Too Costly Politics" by Herbert Alexander.

Fourth Week

Federal/State Relations

Required Reading:

Pragmatic Federalism, Review Chapter 3 and Read Chapter 4, "National-State Relations."

Suggested Reading:

"Federal-State Relations in Important Functional Areas, Chapter IX; also Chapter XIII and XIV (Fiscal Aspects), Graves, American Intergovernmental Relations, Scribners, New York, 1964.

Committee for Economic Development, A Fiscal Program for a Balanced Federalism, New York, June, 1967, pg. 70.

National Legislative Conference, Intergovernmental Relations Committee, Policy Positions and Final Report, Washington, D.C., August, 1972, pg. 53.

"The Case for a Stronger National Government," Harry V. Jaffa and "The Meaning of the Tenth Amendment," Walter Berns, A Nation of States.

ACIR, The States and Intergovernmental Aids, Pub. No. A-59, Washington, D.C., February, 1977.

OMB Report, Strengthening Public Management in the Intergovernmental System, Chapter II, "Reorienting Federal Domestic Programs to State and Local Needs" and Chapter IV, "Federal Aid to State and Local Management."

Fourth Week (Con't)

Suggested Reading:

The Annals, "Intergovernmental Relations in America Today," The Academy of Political and Social Science, Philadelphia, Penn., November, 1974 (hereafter referred to as The Annals only), three (3) articles on Federal-State Relations, pgs. 40 through 76.

Fifth Week
Interstate Relations and Cooperation

Required Reading:

Pragmatic Federalism, Chapter 6, "Interstate Relations: Interaction Among Equals."

Suggested Reading:

"Interstate Cooperation," Chapter XVII; also Chapter XVIII (portion on Subnational Regionalism), Graves, American Intergovernmental Relations, Scribners, New York, 1964.

ACIR, Multistate Regionalism, A-39, April, 1973, Chapters 1, 5 and 7.

Sixth Week
State/Local Relations

Required Reading:

Pragmatic Federalism, Chapter 7, "State-Local Relations: Strong Interdependence."

Suggested Reading:

"State-Local Relations," Chapter XX, Graves, American Intergovernmental Relations, Scribners, New York, 1964.

Massachusetts Law Quarterly, "Home Rule in Massachusetts: Municipal Freedom and Legislative Control," George D. Brown, Vol. 58, No. 1, March, 1973, pg. 29-57.

ACIR, State Actions on Local Problems: 1971, M-75, April, 1972, p. 24.

Zimmerman, Joseph F., Subnational Politics - Readings in State and Local Government, 2nd Edition, Holt-Rinehart-Winston, New York, 1970, p. 446.

The Annals, two (2) articles on "State-Local Relations," pgs. 120-147.

Sixth Week (Con't)

Suggested Reading:

ACIR, Regional Decision-Making: New Strategies for Substate Districts, Vol. 1, "Substate Regionalism and the Federal System," A-43, October, 1973, p. 433 (read especially Chapter XI, "Recommendations").

The States and the Urban Crisis, The American Assembly, Columbia University, Prentice-Hall, New Jersey, 1970, p. 215 (read especially Chapters 2, 3 and 8).

OMB Report, Strengthening Public Management in the Intergovernmental System, Chapter III, "State and Local Management Needs." Elazar, Daniel J., American Federalism: A View from the States, Crowell Publishers, New York, 1972, Chapter 7, "The States and Their Civil Communities."

Seventh Week
Federal/Local Relations

Required Reading:

Pragmatic Federalism, Chapter 8, "National-Local Relations: Dynamic Federalism."

Suggested Reading:

"Federal-Local Relations," Chapter XIX; Also Chapter XXIV (By-Passing the States), Graves, American Intergovernmental Relations.

The Annals, "Intergovernmental Relations in the United States," *op. cit.* "National-State-Local Systems of Government and Intergovernmental Aid," Alan K. Campbell, 1965.

"A Nation of Cities," Chapter One, "The American System: The Many and the One," Chapter Two, "The Emergence of an Urban Partner," Chapter Four, The Cities and the Federal System.

The Federal Granting System - A Guide for Massachusetts Local Governments, Massachusetts Cooperative Extension Service, University of Massachusetts, Amherst, 1978, p. 56.

The Uneasy Partnership, Article No. 4, "Federal Revenue Sharing," and Article No. 13, "The Federal Role in Urban Decentralization."

The Annals, two (2) articles on "Federal-Local Relations," pgs. 77 through 98.

Eighth Week
Inter-Local Relations: Urbanism and Metropolitanism

Required Reading:

Pragmatic Federalism, Chapter 9, "Inter-Local Relations: Fragmented Federalism."

Suggested Reading:

"Inter-Local Relations," Chapter XXI; also Chapter XVII (portion on Metropolitan Regionalism), Graves, American Intergovernmental Relations.

The Annals, two (2) articles on "Inter-Local Relations," pgs. 148 through 169.

ACIR, The Problems of Special Districts in American Government, A-22, May, 1964, Chapter III, IV, VI, and VIII.

ACIR, Urban America and the Federal System, M-47, October, 1969, p. 140.

ACIR, Alternative Approaches to Governmental Reorganization in Metropolitan Areas, A-11, June, 1962, p. 88.

ACIR, Metropolitan America: Challenges to Federalism, M-31, 1966, p. 176, Chapter 1, "Metropolitan Needs and Governmental Performance," Chapter 2, "People and Governments in Metropolitan Areas," and Chapter 5, "Metropolitan Reorganization."

Committee for Economic Development, Reshaping Government in Metropolitan Areas, New York, February, 1970, p. 83.

ACIR, Hearings on Substate Regionalism, Volume VI of Series: "Substate Regionalism and the Federal System," A-43a, October, 1973, p. 63.

The Uneasy Partnership, Article No. 12, "Metropolitan Reform in the U.S.: An Overview," pgs. 131 through 145.

Ninth Week
Surfacing Intergovernmental Fiscal Issues

Required Reading:

Pragmatic Federalism, Chapter 5, "Fiscal Federalism."

Suggested Reading:

"Constant Rumbblings of Discontent," Graves, American Intergovernmental Relations, Chapter XXII.

ACIR, Summary and Concluding Observations - The Intergovernmental Grant System, Pub. No. 62, Washington, D.C., 1978, p. 82.

Ninth Week (Con't)

Suggested Reading:

ACIR, The Intergovernmental Grant System, As Seen by Local, State and Federal Officials, Pub. No. A-54, March, 1977.

The Uneasy Partnership, Article 5, "Restoring Fiscal Balance in the Federal System," and Article No. 6, "Revenue-Sharing in Theory and Practice."

American Federalism, Chapter 3, "The Partnership in Action."

Tenth Week

Current State of Affairs - Proposed Solutions

Required Reading:

The Uneasy Partnership, Chapter 1, Article 2, "The Current Scene: Approaches and Reproaches."

Suggested Reading:

The Administration of the New Federalism: Objectives and Issues, American Society for Public Administration, Part II, "National Policies and the New Federalism," Washington, D.C., 1973, pgs. 15-49.

National Journal, "The New Federalism: Theory, Practice, Problems," Special Report, Washington, D.C., March, 1973, p. 78.

The Uneasy Partnership, three (3) articles on "Federalism in Transition," pgs. 5 through 37; and article No. 27, "The Future of Federalism," pgs. 312 through 322.

Strengthening Public Management in the Intergovernmental System, Office of Management and Budget, Washington, D.C., 1975, p. 63.

"The Search for an Acceptable Policy," Chapter XXV; also Chapters XXIII and XXVI, Graves, American Intergovernmental Relations.

Eleventh Week

The Future of Intergovernmental Relations

Required Reading:

Pragmatic Federalism, Chapter 10, "The Future of the Intergovernmental System: Change and Uncertainty."

Eleventh Week (Con't)

Suggested Reading:

OMB Report, Strengthening Public Management in the Intergovernmental System, Chapter V, "Managing for Intergovernmental Relations," and Chapter VI, "The Task Ahead."

The Uneasy Partnership, Chapter 8, Article 26, "The Resurgence of Federalism" by Daniel Elazar and Article 27, "The Future of American Federalism," by Richard H. Leach.

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Daniel J. Elazar (APR-24)..... \$2.00

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Metaphor - Stuart D. McLean (A-35)..... \$3.00
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(A-40)..... \$3.00
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(A-41)..... \$3.00

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